



## INSTITUTIONAL ETHICS COMMITTEE (IEC)

Seth G. S. Medical College and KEM Hospital, Mumbai.

IEC No. of the Project: .....

### Annexure 2

AX 02/SOP 05-A/V 7.1

### Application Form for Clinical Trial/Academic Clinical Trial

Study Title: .....

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Principal Investigator (Name, Designation & Affiliation): .....

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1. **Type of Clinical Trial** Regulatory Trial  Academic Trial

**CTRI Registration Number:** .....

2. **If Regulatory Trial, provide status of CDSCO permission letter**

Approved and letter attached  Applied, under process

Not applied (State Reason)

3. **Tick all categories that apply to your trial**

Phase I  Phase II

Phase III  Phase IV or Post Marketing Surveillance

Investigational medicinal products  Investigational New Drug

Medical Devices  New Innovative procedure

Drug/Device combination  Bioavailability/Bioequivalence studies

Non Drug Intervention  Repurposing an existing intervention

Indian system of medicine (AYUSH)  Others (*Specify*)

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4. **Trial Design of the study**

Randomized  Factorial

Non randomized  Stratified

Parallel  Adaptive

Cross-over  Comparison trial

Cluster  Superiority trial

Matched-pair  Non-inferiority trial

Others (*Specify*)  Equivalence trial

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5. Is there a Contract Research Organization (CRO)/Site Management Organization (SMO)/Any other agency such as public relation/human resource? Yes  No

If Yes, Name and Contact details: .....

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State how the CRO/SMO/Agency will be involved in the conduct of the trial (Tick all that apply)

- |                        |                          |  |                          |
|------------------------|--------------------------|--|--------------------------|
| Project management     | <input type="checkbox"/> | Clinical and medical monitoring            | <input type="checkbox"/> |
| Regulatory affairs     | <input type="checkbox"/> | Data management                            | <input type="checkbox"/> |
| Statistical support    | <input type="checkbox"/> | Medical writing                            | <input type="checkbox"/> |
| Site Management        | <input type="checkbox"/> | Audits, Quality Control, Quality Assurance | <input type="checkbox"/> |
| Finance management     | <input type="checkbox"/> | Recruitment and training                   | <input type="checkbox"/> |
| Administrative support | <input type="checkbox"/> | Others (Specify)                           | <input type="checkbox"/> |

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6. Please provide the following details about the intervention being used in the protocol.

I. Drug/s, Device/s and/or Biologics; Yes  No  NA

If yes, provide regulatory approval details .....

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II. Already approved drugs or a combination of two or more drugs with new indications/change in dosage form/route of administration. Yes  No  NA

If yes, provide details. ....

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III. Provide contact details of who prepared and/or is manufacturing the drug/s, device/s and biologics.

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IV. Provide details of patents of the drug/s, device/s and biologics.

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7. Justify the use of the placebo and risks entailed to participants. Yes  No  NA

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8. Will current standard of care be provided to the control arm in the study? Yes  No  NA

If No, please justify .....

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9. Justify any plans to withdraw standard therapy during the study. Yes  No  NA

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10. Describe the rules to stop the protocol in case of any adverse events. Yes  No  NA

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11. Provide details of Data and Safety Monitoring Plan. Yes  No

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Signature of Principal Investigator (PI) with Date: .....